

Antecedent Mflds

(D) (Gabai '83)

A intened mfld (fr us) is an oriented 3-mfld γ w/ bdry divided up

$$\partial\gamma = R_+ \cup A \cup R_-$$

↑ ↑ ↑
positive neutral negative

s.t. R_+ oriented like $\partial\gamma$

$$R_- \quad \overbrace{\hspace{1cm}} \quad -\partial\gamma$$

- A is a union of annuli γ_i , the core curves of the annuli oriented compatibly w/ $\partial R_+ / \partial R_-$.

- Each component of γ meets $\partial\gamma$

$$\begin{array}{c} R_+ \quad \overbrace{\hspace{1cm}} \quad \partial R_+, A \\ R_- \quad \overbrace{\hspace{1cm}} \end{array}$$

It's balanced if $\chi(R_+) = \chi(R_-)$

e.g. :



non-balanced

- Σ_0 closed

- $\Sigma = \Sigma_0 \setminus D^3$ $\omega/R_+ = R_- = \dim$

- $\Sigma \times I$, $R_+ = \Sigma \times \{1\}$, $R_- = \Sigma \times \{0\}$, $A = \partial \Sigma \times I$

↑
surface w/ body

- Knots: (complement)



Gebai came about this for:

(Oriented) Foliations



Formally smooth integrable
2-plane field

stack of leaves
near each pt.

On Y w/bdry, natural to ask
parallel to bdry on part of ∂Y is transverse elsewhere
 R_{\pm} (sign indicates A
where bdry agrees w/foliation orientation)

Intertwined mflds also arise naturally from
contact structures.

Exercise: If $(Y, \partial Y, F)$ nice as above,
show the associated intertwined structure on Y is
balanced.

Hint: think about c_1 of 2-plane field

⑦ A retarded Heegaard diagram is a surface Σ w/bdry and two collections of simple closed curves $\underline{\alpha} = \{\alpha_i\}_1^h$, $\underline{\beta} = \{\beta_j\}_1^k$, s.t. $\alpha_i \cap \beta_j$ disjoint & homologically linearly independent.

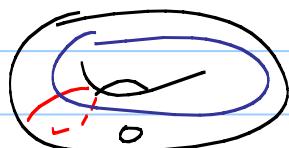
$\Sigma \times I$ represents a retarded mfld:

$$\Sigma \times I \cup \text{2-handles on } \alpha_i \times \{0\} \quad (R_-)$$

$$~~~~~ \beta_i \times \{1\} \quad (R_+)$$

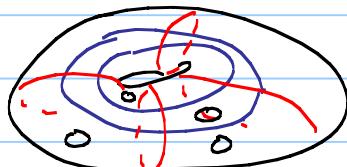
It will be balanced $\Leftrightarrow h = k$.

e.g. :



$$\leadsto S^3 \setminus B^3$$

genus
diagram



\leadsto knot complement
w/extra curves

⑧ Every retarded mfld has a retarded Heegaard diagram.

pf: Find $f: Y \xrightarrow{f} I$ generic s.e. $f(R_+) = \{1\}$,
 $f(R_-) = \{0\}$. Take gradient-like v.field

\vee parallel to ∂ on A .

Choose f s.t. no index $0, 3$ cut pts.

$$\text{ind}(p) = 1, \text{ ind}(q) = 2 \Rightarrow f(p) < f(q)$$

$$L = f^{-1}\left(\frac{1}{2}\right)$$

" "

□

Exercise: Find a sutured Heegaard diagram
for \mathcal{O}_3 w/ as few sutures as possible.

Point: Same moves as for Heegaard diagrams
apply to sutured Heegaard diagrams.

(D) Sutured Floer Homology $SFH(\mathcal{H})$

\cong sutured Heegaard

is the homology of the chain cx diagrams
generated by k -tuples of intersections b/w
 $\alpha_i \cap \beta_j$ (i.e. generators are $\in \text{Sym}^k(\mathcal{I})$)

w/differential counting discs in $\text{Sym}^k(\mathcal{I})$, or
cylindrical version via surfaces in $[0, 1] \times \mathbb{R}$

Example: $SFH(I \times I) \cong \mathbb{F}_2$ (in $\mathbb{Z}/2$ -coeffs)
 (no curves)

(D) $S \subset Y$ is incompressible if every curve in S surface 3-fold which bounds a disk in Y also bounds one in S $\Leftrightarrow S$ has no sphere components.

S is tant if it is incompressible & minimizes
 $\chi_+(S) = \begin{cases} \max[0, \chi(S)] & \chi(S) \leq 0 \\ 0 & \text{else} \end{cases}$ w/in homology class of S

For $S \cup \partial S \subset \partial Y$, do same thing,
 minimize $\chi_+(S)$ w/in surfaces in same $\{S\} \in H^1(Y, \partial S)$

A retarded mfld is tant if R_+ is tant & Y is irreducible as a 3-fold.
 (no nontrivial 2-spheres or almost equivalently,
 not a compact sum).

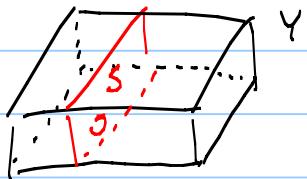
(+) (Gabai '83) Every tant retarded mfld which
 $\omega/b_+ > 0$ has a tant foliation
 (e.g. if ∂Y has a non-sphere component).

(T) (Jahary '06)

γ balanced retimed mfd, then $SFH(\gamma) > 0$
 $\Leftrightarrow \gamma$ is tant.

Further, $\dim(SFH(\gamma)) > 1 \Leftrightarrow \gamma$ is tant if not a product.

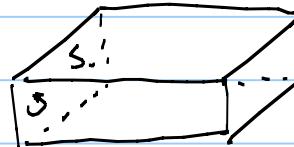
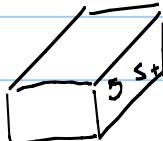
Sutured Decompositions



$$S \subset Y$$

$$\partial S \subset \partial Y$$

Cutting $Y|_S$



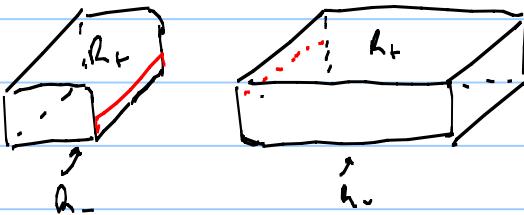
S_+ - part where body agrees w/ or. of S
 S_- - part where body disagrees

Get a new retimed mfd $\cup R'_+ = R_+|_{\partial S} \cup S_+$

$$R'_- = (R_-|_{\partial S} \cup S_-)$$

(plus some smoothing)

e.g.



(T) (Gabai '83, Scharlemann '89)

γ - balanced oriented mfld, $b_i > 0$

γ is taut \Leftrightarrow \exists oriented hierarchy, i.e. a sequence of surface decompositions

$\gamma_1 \downarrow_{S_1} \gamma_2 \downarrow_{S_2} \gamma_3 \downarrow \dots \downarrow \gamma_n$ where γ_n is a product

Proof fairly constructive.

FLAG Exercise: What happens to a knot complement if we take a surface decomposition along a Seifert surface of the knot.